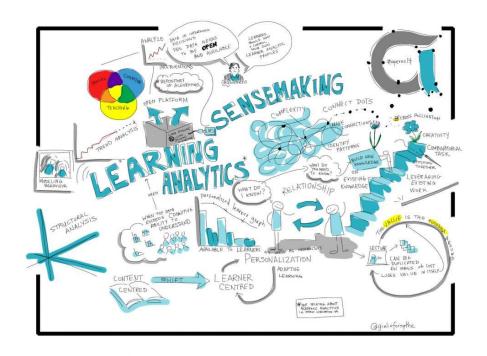


# Starting Points

What is the basis for statements about the ethics of learning analytics?



Giulia Forsythe <a href="https://www.e-learningmatters.com/new-emerging-tech-part-2-learning-analytics-adaptive-learning/">https://www.e-learningmatters.com/new-emerging-tech-part-2-learning-analytics-adaptive-learning/</a>

Cover image: <a href="https://www.smithsonianmag.com/history/extraordinary-500-year-old-shipwreck-rewriting-history-age-discovery-180978825/?utm\_source=pocket-newtab">https://www.smithsonianmag.com/history/extraordinary-500-year-old-shipwreck-rewriting-history-age-discovery-180978825/?utm\_source=pocket-newtab</a>

# Starting Points

"To satisfy expectations of the 'born digital' / 'born social' generations, there is a likely requirement to take on ethical considerations, which may run contrary to the sensibilities of previous generations, especially in respect of the trade-off between privacy and service." (Kay, D., Korn, N. & Oppenheim, C., 2012)

Really? Is that why we're doing it?

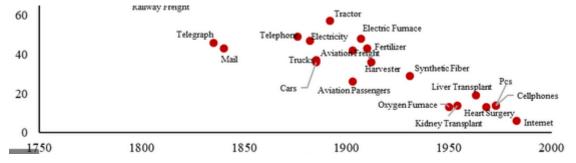


Kay, D., Korn, N. & Oppenheim, C., 2012. Legal, Risk and Ethical Aspects of Analytics in Higher Education, Available at: <a href="http://publications.cetis.org.uk/2012/500">http://publications.cetis.org.uk/2012/500</a>

# Starting Points

Drew (2016) states that "people think that it would be irresponsible not to use data science in certain cases, and that we should not lag behind other countries."

 Are we to draft our ethical principles based on "what people think" or on whether we "lag behind" other countries? If not, why not?



https://www.brookings.edu/blog/future-development/2020/01/17/whoever-leads-in-artificial-intelligence-in-2030-will-rule-the-world-until-2100/ Brookings

Drew, 2016: <a href="https://royalsocietypublishing.org/doi/10.1098/rsta.2016.0119">https://royalsocietypublishing.org/doi/10.1098/rsta.2016.0119</a>

### The Best of...

- As Voltaire's Candide might have reflected, we are faced with the imperative to seek out the 'best of all possible worlds' (Kay, D., Korn, N. & Oppenheim, C., 2012)
- Is this the best of all possible worlds?

https://independentaustralia.net/politics/politics-display/capitalism-the-best-of-all-possible-worlds,4303



*Candide* summary: <a href="https://theconversation.com/guide-to-the-classics-voltaires-candide-a-darkly-satirical-tale-of-human-folly-in-times-of-crisis-157131">https://theconversation.com/guide-to-the-classics-voltaires-candide-a-darkly-satirical-tale-of-human-folly-in-times-of-crisis-157131</a>



# Human interest in ethics goes back at least 3,000 years and probably further

- "such as the Mesopotamian <u>Epic of Gilgamesh</u>, Homer's <u>Iliad</u> and the Icelandic <u>Eddas</u>, portray a set of values that suit the strong leader of a small tribe.
- "The <u>Sumerian Farmer's Almanac</u> and the Egyptian <u>Instruction of Amenemope</u> both advise farmers to leave some grain for poor gleaners" (Wikipedia)

https://en.wikipedia.org/wiki/History of ethics

History of Ethics from a very western perspective: <a href="https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history">https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history</a>

# Ethics has a long history of association with religious belief, but this is waning

 Miguel Guhlin shares his summary of Greg Epstein's book, Good Without God, and I thought I'd include it here as complexion and nuance for my upcoming MOOC. It follows a path well trodden by people like Kai Nielson and J.L. Mackie.

https://www.downes.ca/post/72711

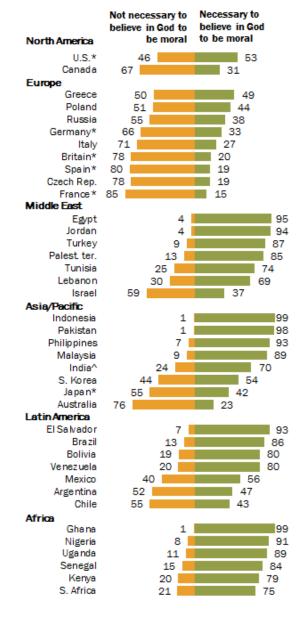
https://www.pewresearch.org/global/2014/03/13/worldwide-many-see-belief-in-god-as-essential-to-morality/

History of Ethics from a very western perspective:

https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history

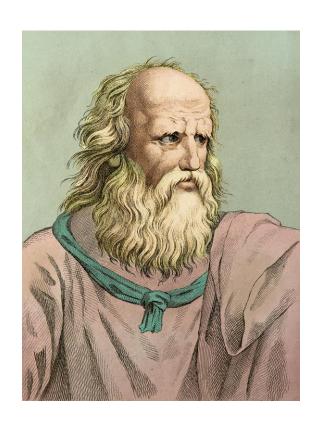
#### **Belief in God Essential to Morality?**

% Who believe it is...



<sup>\*</sup>Data from 2011. ^Data from Winter 2013.

Source: Spring 2013 Global Attitudes Survey, Q26.



# Ethics as philosophy developed within the Greek tradition

- "Justice is the rule of the stronger" (Thrasymachus) and "Man is the measure of all things" (Protagoras) – Socrates – the difficulties of such theories
- Plato idealism the Form of the Good, in relation to which all other objects of knowledge must be defined
- Aristotle the exercise of natural human faculties in accordance with virtue.

History of Ethics from a very western perspective: <a href="https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history">https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history</a>

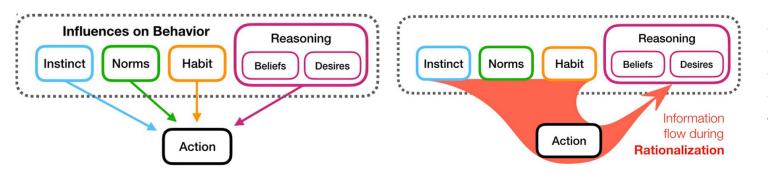
Since the Enlightenment ethics has also been associated with reason



History of Ethics from a very western perspective: <a href="https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history">https://www.encyclopedia.com/humanities/encyclopedias-almanacs-transcripts-and-maps/ethics-history</a>

### Ethics of Belief

- Why we have a moral obligation to believe responsibly:
  - false beliefs about physical or social facts lead us into poor habits of action
  - poor practices of belief-formation turn us into careless, credulous believers.
  - we have the moral responsibility not to pollute the well of collective knowledge. (Clifford 'The Ethics of Belief', 1877)



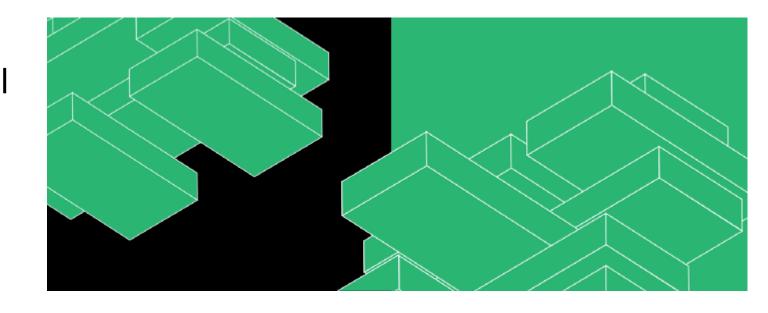
https://www.cambridge.org/core/journ als/behavioral-and-brainsciences/article/abs/rationalization-isrational/2A13B99ED09BD802C0924D36 81FEC55B

Francisco Mejia Uribe. (2018). Believing without evidence is always morally wrong. Aeon (Weblog). November 5, 2018. <a href="https://aeon.co/ideas/believing-without-evidence-is-always-morally-wrong">https://aeon.co/ideas/believing-without-evidence-is-always-morally-wrong</a>

# Too Big a Word?

"Compliance, legal risk, corporate values, and moral justice are all sometimes described as 'ethics,' and at times work in parallel to pursue common goals, and at other times come into tension with each other."

(Moss & Metcalfe, 2020)



https://points.datasociety.net/too-big-a-word-13e66e62a5bf

#### Ethical...

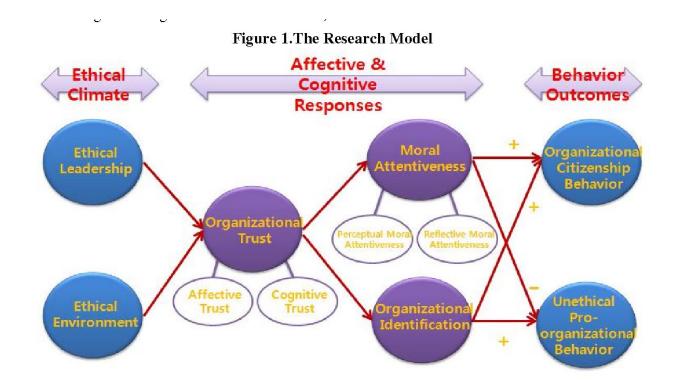
"The adjective 'ethical' can describe both an outcome, a process, or a set of values" (Moss & Metcalfe, 2020) or requirements...



https://www.britannica.com/topic/ethics-philosophy

### **Ethical Outcomes**

An **outcome** might consist of a cloud services company declining a contract with an abusive government or agency, or equalizing error rates across protected classes in an automated hiring system.



https://www.semanti cscholar.org/paper/Ex ploring-the-Relationshipbetween-Ethical-Climate-Kim-Miao/a32e4bc35ed42 12e5b76a09748126ca 635446557

# Ethical process

#### **Ethical Decision Making Process**



A rigorously ethical **process** can look like a committee of stakeholders convening to develop a plan for addressing potential harms of a new product or a review team analyzing an engineering requirements document.

https://www.inhousecommunity.com/article/ethical-decision-making-process-manish-asarkar/

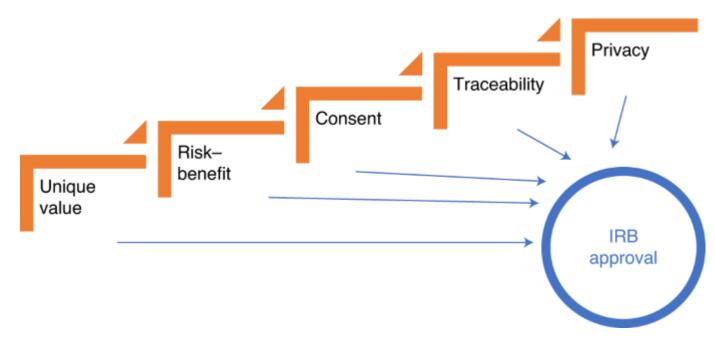
### **Ethical Values**

 Values describe states', humans' (or any moral being's) desires, such as beauty, justice, or wealth, and are expressed as ethical principles



# Ethical Requirements

• "Ethical requirements are requirements for AI systems derived from ethical principles or ethical codes (norms)" (Guizzardi, et.al., 2020)



https://www.nature.com/articles/s42256-021-00389-w

## Five Approaches to Ethics

- The Utilitarian Approach
- The Rights Approach
- The Fairness or Justice Approach
- The Common Good Approach
- The Virtue Approach



Velasquez, et.al., 2009. <a href="https://www.scu.edu/ethics/ethics-resources/ethical-decision-making/a-framework-for-ethical-decision-making/">https://www.scu.edu/ethics/ethics-resources/ethical-decision-making/a-framework-for-ethical-decision-making/</a>

### Metaethics

What is the *basis* for ethical reasoning?

- Does might make right?
- Do ethics describe duties?
- Are ethics based in rights?
- Do ethics require agency?
- Relativism vs Universality

https://conscientthoughts.wordpress.com/2 019/06/10/lets-get-meta-about-metaethics/

